# CQUNIVERSITY RESEARCH



This is the authors accepted manuscript of a work that was submitted for publication from the following source:

# Citation

Wilson, C. S., Brookes, V. J., Hughes, K. J., Trope, G. D., Ip, H., & Gunn, A. J. (2017). Oesophageal lumen pH in yearling horses and effects of management and administration of omeprazole. Equine Veterinary Journal, 49(3), 389–394. https://doi.org/10.1111/evj.12608

# **Copyright: The author**

This work is covered by copyright. Unless the document is being made available under a Creative Commons Licence, you must assume that re-use is limited to personal use and that permission from the copyright owner must be obtained for all other uses.

# **Creative Commons License details of reuse:**

It is a condition of access that users recognize and abide by the legal requirements associated with the following rights:

If you believe that this work infringes copyright please provide details by email to acquire-staff@cqu.edu.au

"This is the peer reviewed version of the following article: Wilson, C. S., Brookes, V. J., Hughes, K. J., Trope, G. D., Ip, H., & Gunn, A. J. (2017). Oesophageal lumen pH in yearling horses and effects of management and administration of omeprazole. Equine Veterinary Journal, 49(3), 389–394. https://doi.org/10.1111/evj.12608, which has been published in final form at https://doi.org/10.1111/evj.12608 This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions. This article may not be enhanced, enriched or otherwise transformed into a derivative work, without express permission from Wiley or by statutory rights under applicable legislation. Copyright notices must not be removed, obscured or modified. The article must be linked to Wiley's version of record on Wiley Online Library and any embedding, framing or otherwise making available the article or pages thereof by third parties from platforms, services and websites other than Wiley Online Library must be prohibited."

1	Oesophageal lumen pH in yearling horses and the effect of management and administration of
2	omeprazole
3	
4	
5	C.S. Wilson <sup>*1</sup> , V.J. Brookes <sup>1-2</sup> , K.J. Hughes <sup>1</sup> , G.D. Trope <sup>1</sup> , H. Ip <sup>1</sup> and A.J. Gunn <sup>1-2</sup>
6	
7	
8	<sup>1</sup> School of Animal and Veterinary Sciences, Faculty of Science, Charles Sturt University, Wagga
9	Wagga, NSW 2678, Australia
10	<sup>2</sup> Graham Centre for Agricultural Innovation, Wagga Wagga NSW 2678, Australia
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	*Correspondence email and address: <u>cara.wilson222@gmail.com, Charles Sturt University</u>

**Keywords:** horse, oesophageal pH, arytenoid chondritis, gastro-oesophageal reflux

Ethical Considerations: This study was approved by the Charles Sturt University Animal Care and Ethics Committee (Reference No. 13/092) and was performed in cooperation with the Veterinary Clinical Centre and Equine Centre Charles Sturt University. Acknowledgements: The authors acknowledge the personnel at the Equine Centre who assisted with horse management and the Veterinary Clinical Centre for the use of equipment and facilities. We also thank Gabrielle Thompson, Thomas Williams and animal science students for technical assistance; and Finola McConaghy and Neil Boustred for their contributions to the project. **Conflicts of interest:** The authors have no competing interests. **Sources of Funding:** Funding was provided by CEVA Animal Health and the School of Animal and Veterinary Sciences, Charles Sturt University. Authorship: C. Wilson contributed to study design, data collection, study execution, data analysis and interpretation, preparation and approval of the final manuscript. V. Brookes contributed to study design, data analysis and interpretation, preparation and approval of the final manuscript. K. Hughes contributed to study design, data collection, study execution, reviewing, editing and approving final manuscript. G. Trope contributed to study design, data collection, study execution, and reviewing, editing and approving final manuscript. H. Ip contributed to study design, reviewing, editing and approving final manuscript. A. Gunn contributed to study design, data collection, study execution, data analysis and interpretation, reviewing, editing and approving final manuscript. 

#### 61 Summary

Background: In humans, arytenoid chondritis can be caused by chemical trauma of mucosa from
gastro-oesophageal reflux. While a similar process could be involved in the aetiopathogenesis of
arytenoid chondritis in horses, the oesophageal lumen pH in this species is poorly understood.

65 Objectives: To determine if gastro-oesophageal reflux occurs in horses by characterising
66 oesophageal lumen pH.

67 **Study design:** Blinded, randomised, placebo-controlled, crossover, experimental study.

68 Methods: Luminal oesophageal pH of six yearling horses was recorded for four 24 h periods 69 using an ambulatory pH recorder attached to a catheter with two electrodes (proximal and 70 distal) that was inserted into the oesophagus. Recordings of pH were made during three 71 management protocols. Initially, horses grazed in a paddock (Protocol A). Horses were then 72 moved to stables to simulate sale preparation of Thoroughbred yearlings, and were administered 73 either omeprazole (Protocol B) or placebo paste (Protocol C) orally once daily. Protocol A was 74 repeated for each horse (after a 13 d washout period) between Protocols B and C. Summary 75 statistics described pH range and frequency of pH changes. Associations with predictor variables 76 were investigated using linear mixed effects models. Data are presented as mean ± standard 77 deviation.

**Results:** Oesophageal lumen pH ranged from 4.90 to 9.70 (7.36  $\pm$  0.27 and 7.18  $\pm$  0.24 for proximal and distal electrodes, respectively) and varied frequently (1.2  $\pm$  0.9 changes/min and 0.8  $\pm$  0.8 changes/min for proximal and distal electrodes, respectively). Oesophageal lumen pH was associated with time since concentrate feeding, activity and time of day but not treatment with omeprazole.

83 **Main Limitations:** A small number of horses were used and measurement periods were limited.

84 Conclusions: This study indicates that gastro-oesophageal reflux occurs in clinically normal
85 yearling horses. Although omeprazole had no detectable effect, oesophageal lumen pH recorded
86 during this study did not fall within the therapeutic range of omeprazole.

#### 88 Introduction

Arytenoid chondritis is a progressive, inflammatory condition of the arytenoid cartilages [1]. The disease has been reported in humans [2] cattle [3], sheep [4], alpacas [5] and horses [6; 7]. In horses, arytenoid chondritis is most commonly diagnosed in young animals, particularly Thoroughbred yearlings and young racehorses, and is a source of wastage and economic loss to the equine industry [6]. A wide range of mucosal and cartilage lesions, including mucosal ulceration, necrosis and granuloma formation, are associated with the condition in horses and response to treatment is variable [6].

96

97 In humans, arytenoid chondritis is often associated with chemical trauma to the laryngeal 98 mucosa due to gastro-oesophageal reflux [8; 9] and treatment is aimed at reducing gastric acidity 99 (pH>4) by the use of proton pump inhibitors such as omeprazole [10; 11]. While the aetiology of 100 arytenoid chondritis in horses is unknown, stress, diet, excessive vocalisation and trauma have 101 been proposed as risk factors for the condition [6; 12; 13]. We hypothesised that reflux of acidic 102 gastric contents could cause mucosal trauma and predispose horses to arytenoid chondritis.

103

104 The objective of this study was to determine if changes in oesophageal lumen pH, consistent with 105 gastro-oesophageal reflux, occur in horses. A second objective was to identify time, animal and 106 management factors that might influence oesophageal lumen pH in horses. 107 Materials and methods

108 This study was conducted at the Charles Sturt University (CSU) Veterinary Clinical Centre. The

109 CSU Animal Care and Ethics Committee (Reference No. 13/092) approved the study.

110

111 Horses

Five yearling Australian Stock Horses (3 colts and 2 fillies, weight range 265—301 kg) and one yearling Australian Stock Horse Thoroughbred cross (colt, weight 380 kg), were recruited for the study. The horses had not been used for previous studies and were kept on pasture prior to the commencement of the study. On physical examination, all horses were found to be healthy and in good body condition.

117

# 118 Study design and horse management

119 The study was a blinded, randomised, placebo-controlled crossover design. Oesophageal lumen 120 pH was measured for four 24 h periods in each horse. Measurements were recorded during three 121 different management protocols. During Protocol A, horses grazed in a paddock and were fed 122 supplementary lucerne hay twice daily. Horses were not exercised during this protocol. Protocols 123 B and C simulated a sale preparation environment. Horses were stabled and fed a high 124 concentrate and low roughage ration. The concentrate ration was a commercially available 125 product appropriate for yearlings in sale preparation (15.8% crude protein, 13.6 MJ/kg 126 digestible energy; containing extruded grains and legumes with vitamin and mineral pellets). The 127 amount of concentrate fed to each horse was based on the product guidelines for individual body 128 weight, and was introduced gradually over one week. Additional lucerne hay was fed twice daily. 129 During Protocols B and C, exercise was in-hand walking for 10–15 min twice daily. All horses 130 were walked, fed and treated within the same time period each day. Horses had *ad libitum* access 131 to water in all three protocols.

132

For acclimatisation, horses were introduced to the Protocol A environment (paddock) one week prior to the first recording period (A1). Following Protocol A1, horses were moved to individual stables for 7 days of acclimatisation for sale preparation. On day 7, the horses were randomly allocated to, and commenced, either Protocol B (administration of omeprazole 4 mg/kg *per os*  137 once daily, before food) or Protocol C (administration of placebo which consisted of the drug 138 carrier preparation only). The formulation of omeprazole used was an oil based product given at 139 a dose rate of 92mg/g. The investigators and horse handlers were blinded to all treatments. On 140 day 14, oesophageal pH of each horse was recorded. Horses were then relocated to the paddock 141 for a 13 d wash out period. Protocol A was then repeated (A2) for each horse followed by 142 crossed-over Protocols B or C. At the conclusion of the study, the horses were returned to the 143 care of the CSU Equine Centre. Table S1 (supplementary material) shows the day on which 144 oesophageal lumen pH was measured for each horse after each protocol.

145

#### 146 **Oesophageal pH**

147 Measurements were recorded automatically every five seconds for up to 24 h using a continuous 148 pH data recorder (Orion II, ambulatory pH recorder)<sup>a</sup> and a pH catheter (Greenfield<sup>™</sup>, single-use 149 pH catheter, MMS-pH-15)<sup>b</sup>. The pH catheter was 1.6 m long with distal (tip) and proximal (15 cm 150 from the catheter tip) electrodes (Figure 1). The horses were placed in stocks, restrained using a 151 nose-twitch and sedated when required using combinations of xylazine (Ilium Xylazil-100 152 Analgesic, Sedative and Muscle Relaxant Injection)<sup>c</sup> (50–250 mg), butorphanol (Ilium 153 Butorphanol Injection)<sup>d</sup> (5–25 mg) or detomidine hydrochloride (Dormosedan)<sup>e</sup> (2 mg) 154 administered intravenously. The larvnx was then examined endoscopically. Gastroscopy was not 155 performed to avoid introduction of acidic stomach contents into the oesophagus. The 156 oesophageal pH catheter was calibrated prior to insertion using distilled water and pH solutions 157 of pH  $4.00 \pm 0.05^{\text{f}}$  and  $7.01 \pm 0.05^{\text{g}}$ , according to the manufacturer's instructions. Once calibrated, 158 the catheter was inserted via the right nostril into the distal third of the cervical oesophagus (80 159 cm from the nostril) under endoscopic guidance using biopsy forceps and a piece of masking tape 160 attached to the catheter (Figure 1). The pH catheter was secured to the skin of the nostril using a 161 Chinese finger-trap suture. The external portion of the catheter was taped to the halter and 162 connected to the data recorder. The data recorder was secured to the halter in the inter-163 mandibular space (Figure S1). Recording of oesophageal lumen pH was initiated as soon as 164 possible following catheter insertion, generally between 0930 and 1115 each day. If a horse 165 broke or damaged a catheter during a measurement period, a new catheter was inserted as soon 166 as possible.

167	
168	Data analysis
169	Data were downloaded from the pH data recorder onto a computer using dedicated pH
170	measurement software (MMS Investigation and Diagnostic Software) <sup>h</sup> . Data from both electrodes
171	(proximal and distal) were analysed using the software package, R [14]. Raw data were prepared
172	for analysis according to the following rules:
173	
174	• Readings were removed if the catheter was not in the horse or connected to the
175	ambulatory pH data recorder.
176	
177	• Obvious artefactual recordings were removed (for example, negative readings and those
178	outside pH range 1—14 due to catheter damage).
179	
180	• Fifteen minutes of data (180 readings) were removed from the time the catheter was
181	connected and prior to disconnection or artefactual recording. This covered the time
182	required to return the horse to the paddock or stable, the time taken to bring the horse
183	to the stocks to remove or replace the catheter and the time it might have taken for the
184	horse to damage the catheter.
185	
186	Initial descriptive analysis (packages epiR [15], plotrix [16]) examined the distributions of pH
187	readings and obtained summary statistics for each horse, protocol and electrode. The pH of the
188	electrodes were compared using a paired t-test and were found to be significantly different.
189	Therefore, data from each electrode were not combined for analysis of the effect of predictor
190	variables on pH measurements. The number of pH changes was counted at four randomly
191	selected 5 min time periods for each recorded period to determine pH changes/min. A pH change
192	was defined as the point at which the pH crossed the mean pH for the protocol. Data for
193	continuous variables were expressed as mean ± s.d., unless stated otherwise.

Associations between the oesophageal lumen pH and predictor variables were investigated usingunivariable and linear mixed effects models for each electrode (packages lme4 [17] and nlme

197 [18]) (null hypothesis that there were no significant differences between protocols; P<0.05). 198 Variables were time-related (am or pm, day or night, day number), horse-related (horse identity, 199 sex, weight) and management-related (protocol, housing environment, treatment, type of 200 activity, amount of concentrate, time since concentrate feeding, calibration fluid batch). The 201 variable am or pm was defined as am: midnight to midday; pm: midday to midnight. The variable 202 day or night was described as day: 0730 to 1930; night: 1930 h to 0730 h. Types of activity 203 included stable, paddock turn out, hand walking and grazing in the paddock. Variables were 204 included in the multivariable regression using a forward, stepwise selection of variables. Horse 205 identification (horse ID) and protocol were included as nested random effects to account for 206 heterogeneity of variance (horse ID and protocol, Fligner test, P<0.001). Final models for both 207 proximal and distal electrode models included the following predictor variables: time since 208 concentrate feeding, type of activity, time of day (am or pm and night or day) and sex (proximal 209 electrode only). Model fit was assessed using residual plots, Akaike's Information Criterion (AIC) 210 and R<sup>2</sup> [19; 20].

211

212 **Results** 

#### 213 Horses

All horses were able to eat and drink normally with the oesophageal pH catheter in place. No clinical evidence of detrimental effects due to the catheters, or adverse effects due to the administration of omeprazole were observed throughout the study.

217

During Protocol A horses lost weight (mean  $2.3 \pm 3.7$  kg). However horses gained weight during both Protocol B (17.7 ± 9.0 kg) and Protocol C (14.3 ± 7.9 kg). Overall, horses gained a mean weight of 29.7 ± 6.7 kg (range 17—36 kg) throughout the 7-week study period.

221

## 222 Endoscopy

A hematoma (approximately 2 mm diameter) involving the mucosa of the left arytenoid cartilage
of Horse 4 was observed on endoscopic examination during Protocols B and C. This lesion was
not detected during endoscopic examination in either Protocol A1 or A2. A 2 mm diameter, white,
raised, lesion surrounded by erythema approximately 2 mm wide, was detected on endoscopic

examination on the left arytenoid cartilage of Horse 6 during Protocol C. This lesion was not visible 2 d after the horse was returned to the paddock. For both of these horses, behavioural abnormalities were not observed throughout the study, and no abnormalities were detected on clinical examination during protocols in which lesions were detected. The remaining four horses had no visible lesions on the larynges throughout the study.

232

# 233 Descriptive data analysis

234 After data were trimmed, each of the six yearling horses had oesophageal pH measurements 235 recorded for mean duration of  $13:05 \pm 09:06$  h (range 00:48-23:12), with mean number of 236 recorded measurements 9318 ± 6513 (range 579—16705). Although 24 h was allocated for data 237 collection for each protocol, some horses consistently damaged catheters, and consequently, 238 oesophageal pH was recorded for shorter periods. The histogram in Figure S2 (supplementary 239 material) illustrates normal distribution of oesophageal lumen pH measurements and boxplots 240 demonstrate oesophageal lumen pH measurements for horses in each protocol for both 241 electrodes. No significant difference was detected in mean oesophageal lumen pH measurements 242 between electrodes and protocols (ANOVA, P>0.05). Overall measurements for each electrode 243 are summarised in Figure 2. Although measurements recorded by the proximal and distal 244 electrodes followed a similar pattern (Figure 3), readings from the proximal electrodes were 245 significantly higher than those from the distal electrode (P<0.001) for all horses in each protocol.

246

Overall, pH varied 0—19 times in each 5 min period, with 1.2 ± 0.9 changes/min for the proximal
electrode and 0.8 ± 0.8 changes/min for the distal electrode. Figure 3 demonstrates the pH
changes over time and Table 1 shows pH change frequencies summarised for each electrode
during each protocol.

251

## 252 Analysis of associations between oesophageal pH and predictor variables

The association between pH and individual predictor variables was investigated using
univariable mixed models (Table 2). Regression coefficients and level of statistical significance
for selected fixed-effect variables in the final models are shown in Table 3.

257 Time within 4-6 hours post concentrate feeding was generally associated with lower 258 oesophageal pH. During periods of hand walking, pH measurements were significantly higher 259 than during other activities. Correlations between the variables "night or day" and "am or pm" 260 were not found, and interactions introduced into the models did not improve model fit. Day 261 readings were significantly lower than night readings, and pm readings were significantly lower 262 than am readings. Therefore, the period from 1200 to 1930 had the lowest oesophageal pH 263 readings. Male horses had significantly lower oesophageal pH at the proximal electrode, but 264 differences between sexes were not observed at the distal electrode. Treatment (omeprazole), 265 amount of concentrate feed, calibration fluid, day number and weight were not found to be 266 associated with oesophageal lumen pH.

267

Residual plots suggested reasonable model fit (Figure S3). However, R<sup>2</sup> was 0.33 and 0.29 for the
proximal and distal models respectively, indicating that the models did not explain
approximately two thirds of variation in pH.

271

#### 272 Discussion

273 Key findings in this study were the rapid variations in oesophageal pH measurements ranging 274 from pH 4.9 to pH 9.7, the significantly lower pH of the distal electrode and lower pH during the 275 post-prandial and afternoon periods. Because the oesophageal epithelium has no secretory 276 function [21] these findings suggest gastro-oesophageal reflux occurs in horses. Conversely, high 277 pH measurements (e.g. pH 9.7 recorded by the proximal electrode) are consistent with 278 swallowed oral secretions that are alkaline [22; 23]. The absence of pathological findings in the 279 current study suggests that repeated gastro-oesophageal reflux is a normal physiologic event in 280 yearling horses.

281

We found that the time since concentrate feeding and time of day both influenced oesophageal lumen pH. The greatest reduction in pH values were seen between 2—6 h following concentrate feed being provided. These results are consistent with a study by Murray and Schusser [24] who found that gastric pH of horses was decreased 4—6 h after feeding. Other studies have found that the gastric pH of stabled horses is lower than that of horses kept on pasture, most likely due to

287 altered eating behaviour and diet [25; 26]. Feeding concentrates is associated with reduced 288 salivation, the stimulation of gastrin secretion in the stomach and less buffering capacity than 289 roughage [27]. These factors might contribute to the lower oesophageal pH observed with 290 concentrate feeding in the current study. In addition, lower oesophageal pH was more likely in 291 the afternoon and early evening (between 1200 and 1930). This is similar to findings from a 292 previous study in which a decrease in gastric pH was observed in the evening [28]. While there 293 were no interactions between the effects of time of day and time since concentrate feeding it is 294 possible that the extended time association with lower oesophageal pH found after the evening 295 feed in the current study was due to both these factors.

296

297 The type of activity that the horses were undertaking also influenced oesophageal pH 298 measurements in the current study. In a previous study, Lorenzo-Figueras and Merritt [29] found 299 that gastric contents from the ventral portion of the stomach could be forced cranially during 300 exercise at gaits faster than a walk. Although the horses in the current study were only turned 301 out once during measurement periods, the turn-out period, during which horses galloped around 302 the paddock, was associated with a significant decrease in the oesophageal lumen pH. This 303 finding may reflect oesophageal reflux of gastric contents associated with exercise. Further, 304 higher pH values were measured during hand walking of the horses and it is possible that the 305 high head position during hand walking might limit the extent of gastro-oesophageal reflux. In 306 comparison to paddock and hand walked periods, lower pH measurements were associated with 307 stabled periods. This cannot be explained by exercise, and it is possible that during stabled 308 periods other factors, such as time since concentrate feeding or roughage intake, have a greater 309 influence.

310

Overall, this study suggests that gastro-oesophageal reflux occurs in horses and is influenced by management practices. It should be noted, however, that the management factors in the models explained only one-third of the variability in pH measurements. In addition, the pH changes associated with these factors were small. This indicates that there are other sources of variation in oesophageal lumen pH including horse-associated factors (e.g. temperament), effect of drug administration (e.g. sedatives were administered to horses during this study), amount of food

317 and water consumed, or other management factors that were not included in the analysis. The 318 effects of temperament and sedative drugs were not assessed. All horses were sedated within an 319 hour prior to insertion of the catheters and dosage was subjective because of variation between 320 veterinarians' assessments of horse behaviour. It is possible that sedation has an effect on 321 cardiac sphincter tone and could influence gastro-oesophageal reflux. It might be expected that 322 low oesophageal pH would be seen post-sedation (lower head carriage and potential effect on 323 sphincter tone). This was not observed in this study. Due to practical constraints, the amounts of 324 feed and water consumed were not recorded; for example, some horses spilled large amounts of 325 feed into the sawdust bedding during sale preparation protocols, and a communal, self-filling 326 water trough was used in the paddock protocols. Investigation of the effects of sedation, the 327 amount of feed consumed as well as other factors that might influence oesophageal lumen pH are 328 worth pursuing in further studies.

329

330 Apart from two small, transient, laryngeal lesions observed in two horses during sale preparation 331 protocols, pathological changes were not found in this study. Due to the small number of lesions 332 and horses, it was not possible to infer causation between potential risk factors and these lesions. 333 However, it remains possible that arytenoid chondritis in horses is associated with laryngeal 334 mucosal trauma following retrograde movement of low pH gastric contents in excess (both in 335 reflux distance and lower pH) of that observed in the current study. A case-report in which 336 oesophageal reflux was associated with pathology in a horses has been published; Heidmann et 337 al. [30] reported a yearling filly that presented with severe oesophageal ulceration as a result of 338 gastro-oesophageal reflux. It is possible that the larynx is sensitive to acidic trauma like the 339 oesophagus, and that oesophageal reflux that reaches the larynx may cause inflammation and 340 predispose to arytenoid chondritis. This would be consistent with the aetiopathogenesis of 341 arytenoid chondritis in humans [2; 31; 32]. We suggest measurement of both oesophageal lumen 342 pH closer to the larynx and gastric pH during sale preparation in both normal horses and horses 343 with arytenoid chondritis to investigate correlations between gastric and oesophageal pH in 344 horses.

In humans, omeprazole is an effective treatment of arytenoid chondritis associated with gastro-oesophageal reflux. In the current study, no association between omeprazole and oesophageal pH

could be made. This is to be expected as omeprazole is active at a pH <4.0, and pH measurements</li>
this low were not observed during this study [33; 34]. If a lower oesophageal lumen pH occurs in

349 horses with arytenoid chondritis, omeprazole might be an effective treatment.

350

351 Limitations of this study included the small number of horses and occasional short measurement 352 periods. Although the crossover design allowed fewer animals to be used, a greater number of 353 horses would improve the power to detect associations between management factors and pH 354 measurements. Catheter breakage was difficult to control, especially in the paddock, because it 355 was difficult to maintain the position of the catheter. As a result, other horses could pull the 356 catheter or it could catch on objects such as water troughs. When this occurred, measurement 357 periods were reduced due to replacement time. In Protocol A2, catheters were not replaced once 358 broken due to limited resources. Measurement periods were also limited because only one data 359 recorder was available and data were trimmed to remove artefact, which will have resulted in 360 removal of some normal data. Increased measurement time would improve the precision of our 361 estimates.

362 Other important limitations of this study were that the sale preparation protocol was only 363 simulated and the horses were not Thoroughbreds. Although Australian Stock Horses are a 364 similar breed [35], this might affect the generalisability of our results to the Thoroughbred 365 population. The duration of commercial sale preparation of Thoroughbred yearlings ranges 366 between 6–20 weeks [36], and horses in this study were under sale preparation conditions for 367 only two weeks at a time. Also, exercise in our sale preparation was not as intense as a 368 commercial sale preparation [36; 37]. However, given that the pH variations observed in this 369 study were during a shorter, less intense sale preparation protocol, we believe that these changes 370 are likely to occur, and might be greater, in commercial sale preparations.

371

The findings of this study challenge current belief that the cardiac sphincter prevents gastrooesophageal reflux in normal horses, and further build the hypothesis that arytenoid chondritis might be caused by acid trauma of gastro-oesophageal reflux to the laryngeal mucosa. This study demonstrates that oesophageal lumen pH varies rapidly and over a wide range in yearling horses and is influenced by management and environmental factors consistent with the occurrence of

377	gastro-oesophageal reflux in normal horses. To the authors' knowledge, this is the first study to
378	document oesophageal lumen pH in horses and investigate associations between oesophageal pH
379	and management practices, environmental variables and treatment with omeprazole. This study
380	provides a useful baseline for future studies.
381	
382	
383	
384	
385	
386	
387	
388	
389	
390	
391	
392	
393	
394	
395	
396	
397	
398	
399	
400	
401	
402	
403	
404	
405	
406	
407	
408	
409	
410	
411	
412	
413	
414	

#### Manufacturers' details

- <sup>a</sup>Ambulatory pH Recorder, Medical management Systems b.v. Enschede, The Netherlands
- <sup>b</sup>Single use pH catheter, MMS-pH-15, Medical Management Systems b.v. Enschede, The
- Netherlands
- <sup>c</sup>Troy Laboratories Pty Ltd, Glendenning, NSW, Australia
- <sup>d</sup>Troy Laboratories Pty Ltd, Glendenning, NSW, Australia
- eZoetis Australia Research & Manufacturing Pty Ltd, Sydney, NSW, Australia
- <sup>f</sup>Reagecon, product code 401025P, 250 mL, Reagecon Diagnostics Limited, Shanon Free Zone,
- Shannon, Co. Clare, Ireland
- <sup>g</sup> Reagecon, product code 70125025, 250 mL, Reagecon Diagnostics Limited, Shanon Free Zone,
- Shannon, Co. Clare, Ireland
- <sup>h</sup>Medical Measurement Systems B.V., Enschede, The Netherlands

## **Figure Legends**

430 431 Figure 1: A pH catheter ready for insertion into the oesophagus of a yearling horse by use of an endoscope and biopsy forceps. Biopsy forceps (A) grasped masking tape attached to the catheter (B).

433 Figure 2: Box plots demonstrating oesophageal lumen pH measurements recorded for six yearling horses 435 for the proximal (P) and distal (D) pH catheter electrodes. The black centre line illustrates the median (pH 7.4 and pH 7.2 for the proximal and distal electrodes respectively. Other parameters for the overall pH measurements include: mean = 7.38, s.d. = 0.54, range = 5.1 - 9.5 for the proximal electrode; and mean = 7.17, s.d. = 0.54, range = 4.9—9.7 for the distal electrode. There was a statistically significant difference (P<0.01) between the two electrodes.

- Figure 3: Line plot demonstrating the rapid changes in oesophageal lumen pH in a yearling horse (Horse 6) in a sale preparation environment, treated with placebo over a 24 h period.

protocol	proximal electrode changes	distal electrod change
	per min	per mi
A	1.3±1.0	$0.8\pm0.0$ $0.6\pm0.0$
B C	1.0±0.8 1.0±0.9	$0.6\pm0.0$ $0.8\pm0.0$
-		

Table 2: Regression coefficients and P values for predictive variables in univariable models for proximal and distal electrode measurement of oesophageal lumen pH in yearling horses. Horse ID and protocol were included in the model as random effects.

	proximal electrode		distal elect	rode
predictive variable	coefficient	P value	coefficient	P value
time since feeding concentrate	-0.67	< 0.001	-0.53	< 0.001
day or night	0.15	< 0.001	0.12	< 0.001
am or pm	-0.14	< 0.001	-0.099	< 0.001
activity	0.41	< 0.001	0.44	0.07
sex	-0.24	0.03	-0.12	0.2
amount of concentrate	-0.08	0.05	-0.07	0.08
treatment	-0.18	0.3	-0.15	0.5
weight	-0.03	0.7	0.04	0.3
calibration fluid	0.018	0.9	0.04	0.7

		proximal electrode		distal electrode			
Variable	category	regression coefficient	P value	standard error	regression coefficient	P value	standard erro
	intercept	7.472	< 0.001	0.101	6.944	< 0.001	0.07
time since concentrate feed	2h am day 1	intercept					
	4 h am day 1	-0.093	< 0.001	0.014	0.002	0.9	0.01
	6 h am day 1	0.037	0.01	0.015	0.134	< 0.001	0.01
	2 h pm day 1	-0.121	< 0.001	0.014	-0.098	< 0.001	0.01
	4 h pm day 1	-0.167	< 0.001	0.015	-0.064	< 0.001	0.01
	6 h pm day 1	-0.146	< 0.001	0.015	-0.105	< 0.001	0.01
	2 h am day 2	-0.492	< 0.001	0.016	-0.264	< 0.001	0.01
	> 6 h	0.148	< 0.001	0.014	0.173	< 0.001	0.01
activity	hand-walked	intercept					
	paddock	-0.021	0.9	0.108	0.141	0.1	0.0
	stable	-0.072	< 0.001	0.01	0.076	< 0.001	0.0
	turn-out from stable	-0.112	0.007	0.042	0.105	0.01	0.04
day/night	day	intercept					
	night	0.132	< 0.001	0.003	0.113	< 0.001	0.00
am/pm	am	intercept					
	pm	-0.097	< 0.001	0.003	-0.055	< 0.001	0.00
sex	female	intercept					
	male	-0.214	0.04	0.097			

 535
 Table 3: Regression coefficients, P values and standard errors for fixed-effect variables in linear mixed effects models for proximal and distal electrode measurement of oesophageal lumen pH in yearling horses. Horse ID and protocol were included in the model as random effects.

#### 537 **References**

- 538 [1] Maclean, A.A. and Robertson-Smith, R.G. (1984) Chronic chondritis of the arytenoid
  539 cartilages in a pony mare. *Australian Veterinary Journal* 61, 27-28.
- 541 [2] Emami, A.J., Morrison, M., Rammage, L. and Bosch, D. (1999) Treatment of laryngeal contact ulcers and granulomas: A 12-year retrospective analysis. *Journal of Voice* 13, 612-617.
- 545 [3] Milne, M.H., Barrett, D.C., Sullivan, M. and Fitzpatrick, J.L. (2000) Successful medical treatment of laryngeal chondritis in cattle. *Veterinary Record: Journal of the British Veterinary Association* 147, 305-306.
- 549 [4] Lane, J.G., Brown, P.J., Lancaster, M.L. and Todd, J.N. (1987) Laryngeal chondritis in Texel
  550 sheep. *The Veterinary Record* 121, 81-84.
- 552 [5] Dwan, L.W., Thompson, H., Taylor, D.J. and Philbey, A.W. (2008) Laryngeal abscessation due to Mannheimia haemolytica in an alpaca (Vicugna pacos) cria. *Veterinary Record: Journal of the British Veterinary Association* 163, 124-125.
- [6] Kelly, G., Lumsden, J.M., Dunkerly, G., Williams, T. and Hutchins, D.R. (2003) Idiopathic
  mucosal lesions of the arytenoid cartilages of 21 Thoroughbred yearlings: 1997–2001. *Equine Veterinary Journal* 35, 276-281.
- Smith, R.L., Perkins, N.R., Firth, E.C. and Anderson, B.H. (2006) Arytenoid mucosal injury
  in young Thoroughbred horses investigation of a proposed aetiology and clinical
  significance. *New Zealand Veterinary Journal* 54, 173-177.
- Bell, N.J., Burget, D., Howden, C.W., Wilkinson, J. and Hunt, R.H. (1992) Appropriate acid suppression for the management of gastro-oesophageal reflux disease. *Digestion* 51 Suppl 1, 59-67.
- 568 [9] Olson, N.R. (1991) Laryngopharyngeal manifestations of gastroesophageal reflux
  569 disease. Otolaryngologic Clinics of North America 24, 1201-1213.
  570
- [10] Langtry, H.D. and Wilde, M.I. (1998) Omeprazole: a review of its use in *helicobacter pylori*infection, gastro-oesophageal reflux disease and peptic ulcers induced by nonsteroidal anti-inflammatory drugs. *Drugs* 56, 447-486.
- 575 [11] Sykes, B.W., Sykes, K.M. and Hallowell, G.D. (2014) A comparison of two doses of omeprazole in the treatment of equine gastric ulcer syndrome: A blinded, randomised, clinical trial. *Equine Veterinary Journal* 46, 416-421.
- 579 [12] Holcombe, S.J. and Ducharme, N.G. (2004) Abnormalities of the upper airway. In: *Equine*580 *Sports Medicine and Surgery*, Eds: K.W. Hinchcliff, A.J. Kaneps and R.J. Geor, Saunders,
  581 Phildelphia, PA. pp 584-587.
- 583 [13] Garrett, K.S., Embertson, R.M., Woodie, J.B. and Cheetham, J. (2013) Ultrasound features
  584 of arytenoid chondritis in Thoroughbred horses. *Equine Veterinary Journal* 45, 598-603.
  585
- 586 [14] R Core Team (2015) R: A language and environment for statistical computing, R
  587 Foundation for Statistical Computing, Vienna, Austria.
  588
- 589 [15] Stevenson, M., Nunes, T., Heuer, C., Marshall, J., Sanchez, J., Thornton, R., Reiczigel, J.,
  590 Robinson-Cox, J., Sebastiani, P., Solymos, P., Yoshida, K. and Firestone, S. (2015) epiR:
  591 Tools for the analysis of epidemiological data, R package version 0.9-62 edn.
  592
- 593 [16] Lemon, J. (2006) Plotrix: a package in the red light district of R. *R-News* **6**, 8-12. 594

- 595 [17] Bates, D., Maechler, M., Bolker, B. and Walker, S. (2014) \_lme4:Linear mixed-effects
  596 models using Eigen and S4\_, R package version 1.1-7 edn.
  597
- 598[18]Pinheiro, J., Bates, D., DebRoy, S., Sarkar, D. and R Core Team (2015) nlme: Linear and<br/>nonlinear mixed effects models, R package version 3.1-120 edn.

611

637

641

- 601 [19] Nakagawa, S. and Schielzeth, H. (2013) A general and simple method for obtaining r2
  602 from generalized linear mixed-effects models. *Methods in Ecology and Evolution* 4, 133603 142.
- 605<br/>606<br/>607[20]Burnham, K.P., Anderson, D.R. and Huyvaert, K.P. (2011) AIC model selection and<br/>multimodel inference in behavioral ecology: some background, observations, and<br/>comparisons. *Behav. Ecol. Sociobiol.* 65, 23-35.608
- 609 [21] Reece, W.O. (2009) *Functional anatomy and physiology of domestic animals*, 4th ed. edn.,
  610 Wiley-Blackwell, Ames, Iowa.
- 612 [22] Alexander, F. and Hickson, J.C.D. (1970) The salivary and pancreatic secretions of the
  613 horse. In: *Physiology of Digestion and Metabolism in the Ruminant: Proceedings of the*614 *Third International Symposium*, Ed: A.T. Phillipson, Oriel Press, London.
- 616 [23] McDonald, P., Edwards, R.A., Greenhalgh, J.F.D., Morgan, C.A., Sinclair, L.A. and Wilkinson,
  617 R.G. (2011) *Animal nutrition*, 7th ed. edn., Pearson, Harlow, England.
  618
- 619 [24] Murray, M.J. and Schusser, G.F. (1993) Measurement of 24-h gastric pH using an indwelling pH electrode in horses unfed, fed and treated with ranitidine. *Equine Veterinary Journal* 25, 417-421.
- 623 [25] Murray, M.J. and Eichorn, E.S. (1996) Effects of intermittent feed deprivation, intermittent feed deprivation with ranitidine administration, and stall confinement with ad libitum access to hay on gastric ulceration in horses. *American Journal of Veterinary Research* 57, 1599-1603.
- 628 [26] Husted, L., Sanchez, L.C., Olsen, S.N., Baptiste, K.E. and Merritt, A.M. (2008) Effect of paddock vs. stall housing on 24 hour gastric pH within the proximal and ventral equine stomach. *Equine Veterinary Journal* 40, 337-341.
- 632 [27] Bell, R.J.W., Mogg, T.D. and Kingston, J.K. (2007) Equine gastric ulcer syndrome in adult
  horses: A review. *New Zealand Veterinary Journal* 55, 1-12.
  634
- 635 [28] Husted, L., Sanchez, L.C., Baptiste, K.E. and Olsen, S.N. (2009) Effect of a feed/fast protocol on pH in the proximal equine stomach. *Equine Veterinary Journal* **41**, 658-662.
- 638 [29] Lorenzo-Figueras, M. and Merritt, A.M. (2002) Effects of exercise on gastric volume and
  639 pH in the proximal portion of the stomach of horses. *American Journal of Veterinary*640 *Research* 63, 1481-1487.
- 642 [30] Heidmann, P., Saulez, M.N. and Cebra, C.K. (2004) Pyloric stenosis with reflux
  643 oesophagitis in a Thoroughbred filly. *Equine Veterinary Education* 16, 172-176.
- 645 [31] Hoffman, H.T., Overholt, E., Karnell, M. and McCulloch, T.M. (2001) Vocal process granuloma. *Head Neck* 23, 1061-1074.
- [32] Johnston, N., Dettmar, P.W., Bishwokarma, B., Lively, M.O. and Koufman, J.A. (2007)
  Activity/stability of human pepsin: implications for reflux attributed laryngeal disease *The Laryngoscope* 117.

- [33] McConaghy, F.F., Hodgson, D.R. and Perkins, N.R. (2011) Comparison of the effect of
  Omoguard and Gastrashield on gastrc pH in fasted horses, and effects of differing doses
  of the generic omeprazole paste Gastrozol. *The Australian Equine Veterinarian* 30.
- 656 [34] Merritt, A.M., Sanchez, L.C., Burrow, J.A., Church, M. and Ludzia, S. (2003) Effect of
  657 GastroGard and three compounded oral omeprazole preparations on 24 h intragastric
  658 pH in gastrically canulated mature horses. *Equine Veterinary Journal* 35, 691-695.
- 660 [35] Anon (2015) Horses, The Australian Stock Horse Society Limited.
- [36] Rogers, C.W., Gee, E.K. and Firth, E.C. (2007) A cross-sectional survey of Thoroughbred
  stud farm management in the North Island of New Zealand. New Zealand Veterinary *Journal* 55, 302-307.
- Bolwell, C.F., Rogers, C.W., French, N.P. and Firth, E.C. (2012) Exercise in Thoroughbred
  yearlings during sales preparation: A cohort study. *Equine Veterinary Journal* 44, 20-24.

#### 710 **Supplementary Information Items**

- 711
- 712 713 714 Table S1: Timeline of protocol measurements for each horse where A is a paddock protocol, B is a simulated sale preparation protocol with administration of omeprazole and C is a simulated sale preparation protocol with administration of placebo.
- 715 716 Figure S1: Orion II data recorder attached to the halter of a yearling. The Orion II recorded pH measurements of the oesophagus continuously for up to 24 h.
- 717 718 Figure S2: Histogram demonstrating Normal distribution of oesophageal pH data for six yearling horses in
- all management protocols for two pH electrodes, with overlap of the data shown in green. Boxplots 719 demonstrate pH measurements for all horses in each protocol.
- 720 721 Figure S3: Residual plots to assess model fit in a study examining the effects of management on oesophageal pH in yearling horses.
- 722